

 <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p>				ATTY DOCKET NO. 3960D		SERIAL NO. 09/964,880	
				John Hendricks			
				FILING September 28, 2001		GROUP 2611	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	1.	DOCUMENT NUMBER 6,052,717	DATE 4/18/2000	NAME Reynolds, et al.	CLASS 709	SUBCLASS 218	FILING DATE IF APPROPRIATE RECEIVED
	2.	5,986,690	11/16/1999	Hendricks	725	60	AUG 20 2002
							Technology Center 2600
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	1.	WO 97/23819	7/3/1997	WIPO			
	2.	WO 97/22110	6/19/1997	WIPO			
	3.	WO 97/22067	6/19/1997	WIPO			
	4.	WO 97/22065	6/19/1997	WIPO			
	5.	WO 97/22102	6/19/1997	WIPO			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

AUG 19 2002

ATTY DOCKET NO.

3960D

SERIAL NO.

09/964.880

John Hendricks

FILING

September 28, 2001

GROUP

2611

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11.	WO 97/22109	6/19/1997	WIPO				
	12.	WO 97/22112	6/19/1997	WIPO				
	13.	WO 97/22079	6/19/1997	WIPO				
	14.	WO 97/22049	6/19/1997	WIPO				
	15.	WO 97/22100	6/19/1997	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PIPE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

AUG 19 2002

ATTY DOCKET NO.
3960D

SERIAL NO.
09/964,880

John Hendricks

FILING
September 28, 2001

GROUP
2611

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

RECEIVED
AUG 20 2002
Technology Center 2600

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
21.		WO 97/22080	6/19/1997	WIPO				
22.		WO 97/22106	6/19/1997	WIPO				
23.		WO 97/22062	6/19/1997	WIPO				
24.		WO 97/22107	6/19/1997	WIPO				
25.		WO 95/15649	6/8/1995	WIPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

AUG 19 2002

(Use several sheets if necessary)

ATTY DOCKET NO.

3960D

SERIAL NO.

09/964,880

John Hendricks

FILING

September 28, 2001

GROUP

2611

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

0611

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Docket No. 3690D18
---	--	-----------------------

In Re Application Of: John Hendricks	<i>#5</i> <i>W. Lauer</i> <i>11/30/04</i>
---	---

Serial No. 09/964,880	Filing Date September 28, 2001	Examiner N/A	Group Art Unit 2611
--------------------------	-----------------------------------	-----------------	------------------------

Title: **Electronic Book Selection and Delivery System Having Text in Multiple Formats**

RECEIVED
AUG 26 2002
 Technology Center 2600

Address to:
 Assistant Commissioner for Patents
 Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3690D18

In Re Application: John Hendricks

Serial No.
09/964,880

Filing Date
September 28, 2001

Examiner
N/A

Group Art Unit
2611

Electronic Book Selection and Delivery System Having Text in Multiple Formats

RECEIVED
AUG 20 2002
Technology Center 2600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Dated: August 19, 2002

Sung Kim
Reg. No. 45,398
DORSEY & WHITNEY LLP
1660 International Drive
Suite 400
McLean, VA 22102
(703) 288-5240
(703) 288-5260 facsimile

CC: